

April 28, 2026
SMBC Nikko Securities Inc.

Revision of Client Assets

SMBC Nikko Securities Inc. hereby announces that it has revised the information presented in the Financial Summary for the past fiscal periods. This revision does not affect the consolidated financial statements. The revised figures are underlined on pages.

Revised materials

Financial Results for the First Quarter of FY2024 through the Third Quarter of FY2025

Reference Data, Operational Indicators -1

(Before Revision)

	FY2023	FY2024	FY2025
(Trillions of Yen)	Jun.	Mar.	Dec.
Equity	<u>39.6</u>	42.8	50.3
Bond	20.1	22.1	<u>23.8</u>
Investment trust	12.6	15.5	18.1
Others	0.8	0.7	0.8
Client assets	<u>73.1</u>	<u>81.0</u>	93.0

(After Revision)

	FY2023	FY2024	FY2025
(Trillions of Yen)	Jun.	Mar.	Dec.
Equity	<u>39.5</u>	42.8	50.3
Bond	20.1	22.1	<u>23.9</u>
Investment trust	12.6	15.5	18.1
Others	0.8	0.7	0.8
Client assets	<u>73.0</u>	<u>81.1</u>	93.0

October 30, 2025
SMBC Nikko Securities Inc.

Revision of Net Inflow of Assets

SMBC Nikko Securities Inc. hereby announces that it has revised the information presented in the Financial Summary for the past fiscal periods. This revision does not affect the consolidated financial statements. The revised figures are underlined on pages.

Revised materials

Financial Results for the First Quarter of FY2024 (released on July 29, 2024)

Financial Results for the Second Quarter of FY2024 (released on October 30, 2024)

Financial Results for the Third Quarter of FY2024 (released on January 28, 2025)

Financial Results of FY2024 (released on April 28, 2025)

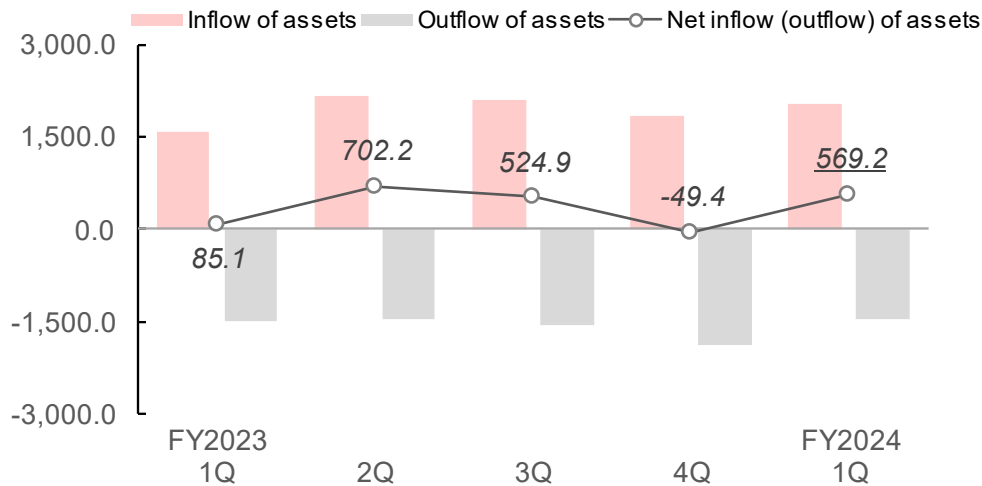
Financial Results for the First Quarter of FY2025 (released on July 28, 2025)

Financial Results for the First Quarter of FY2024

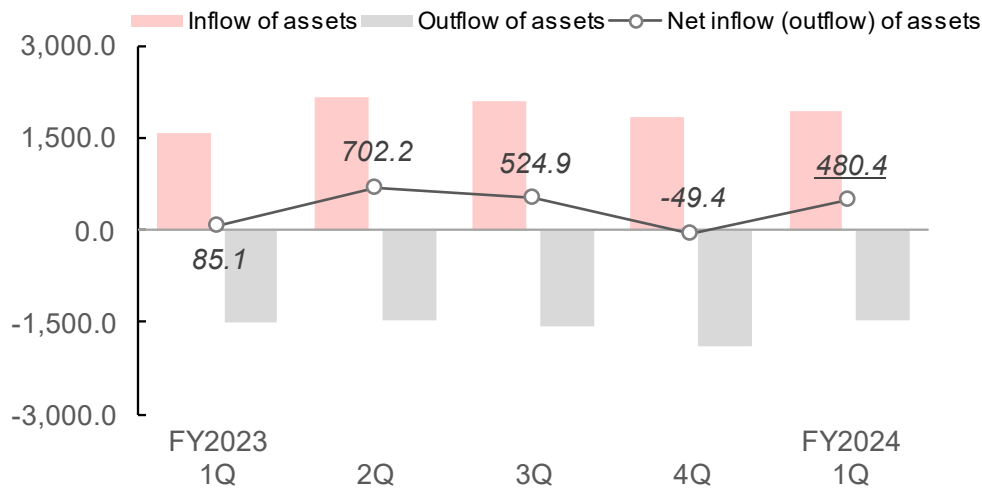
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Net Inflow of Assets

(Before Revision)



(After Revision)

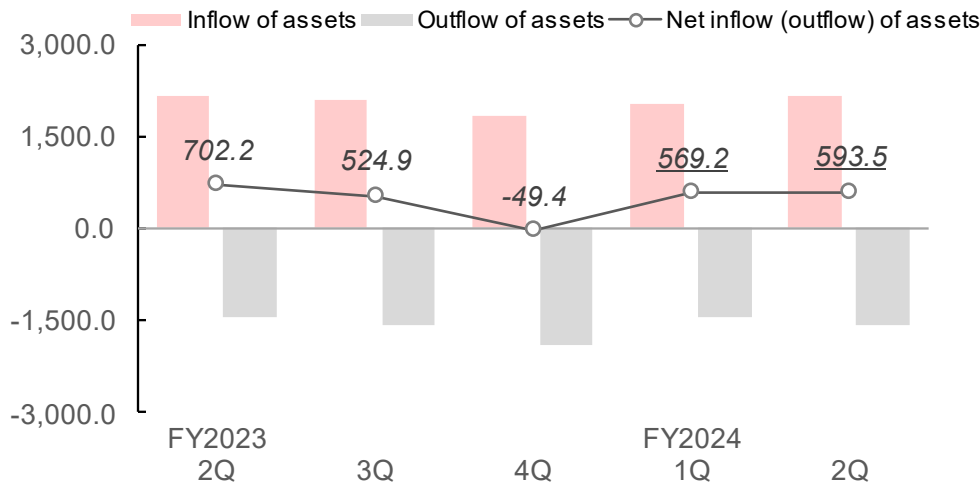


Financial Results for the Second Quarter of FY2024

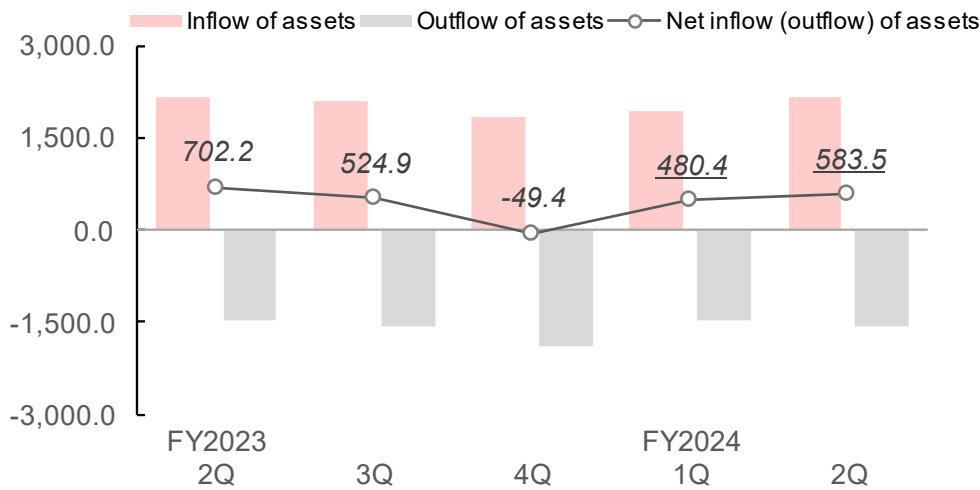
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Net Inflow of Assets

(Before Revision)



(After Revision)

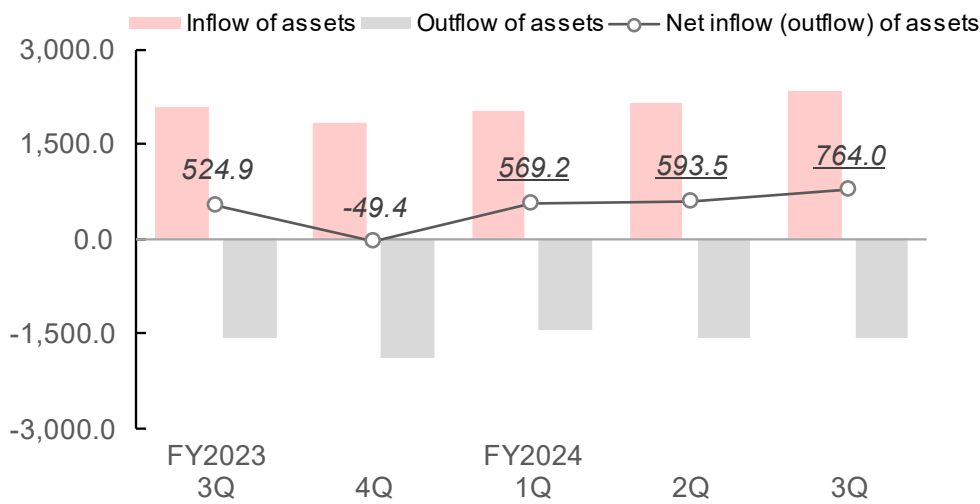


Financial Results for the Third Quarter of FY2024

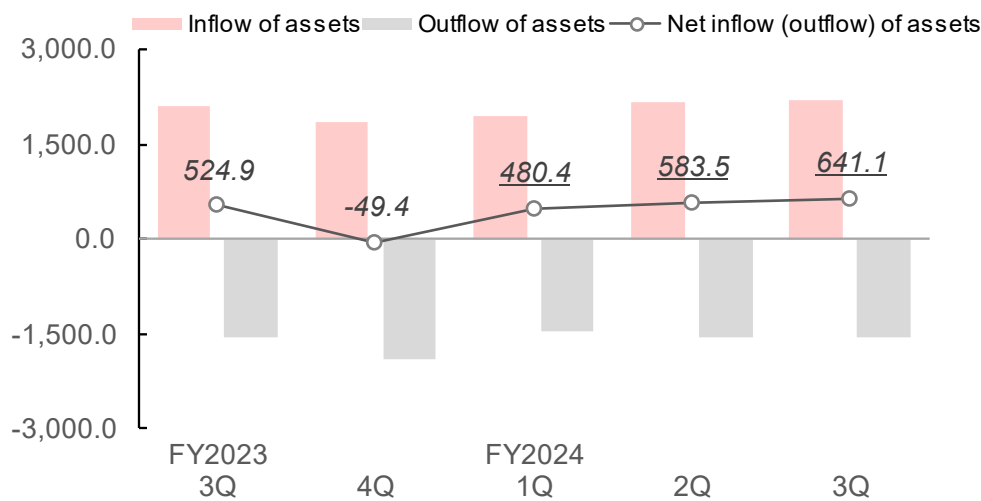
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Net Inflow of Assets

(Before Revision)



(After Revision)

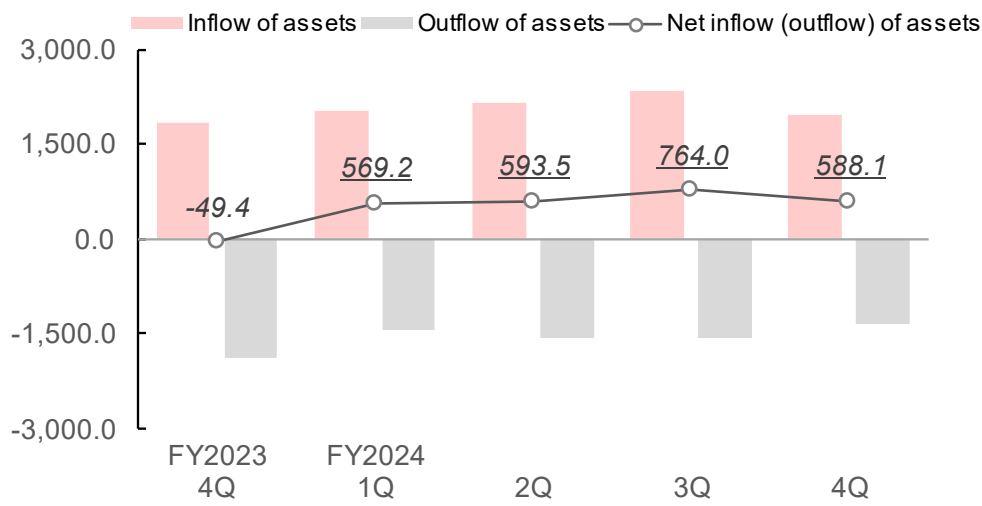


Financial Results of FY2024

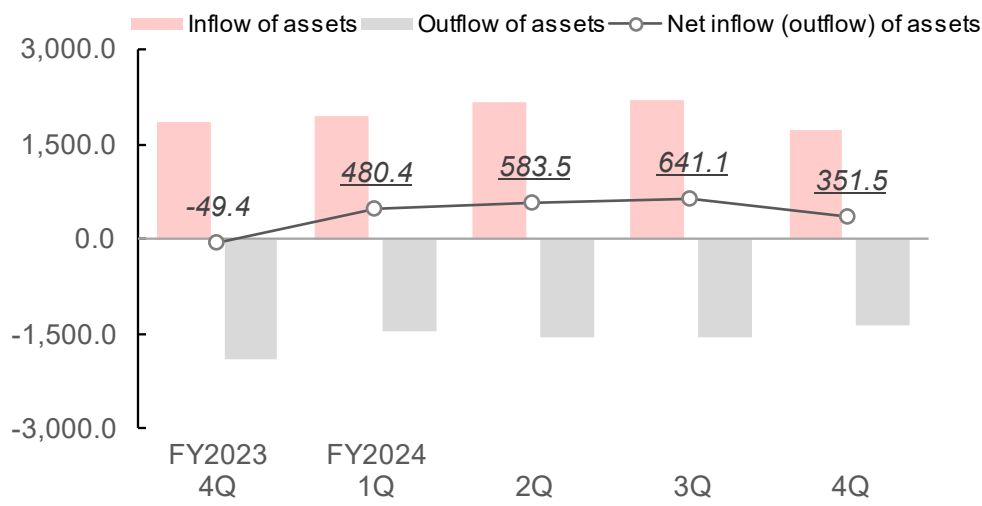
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Net Inflow of Assets

(Before Revision)



(After Revision)

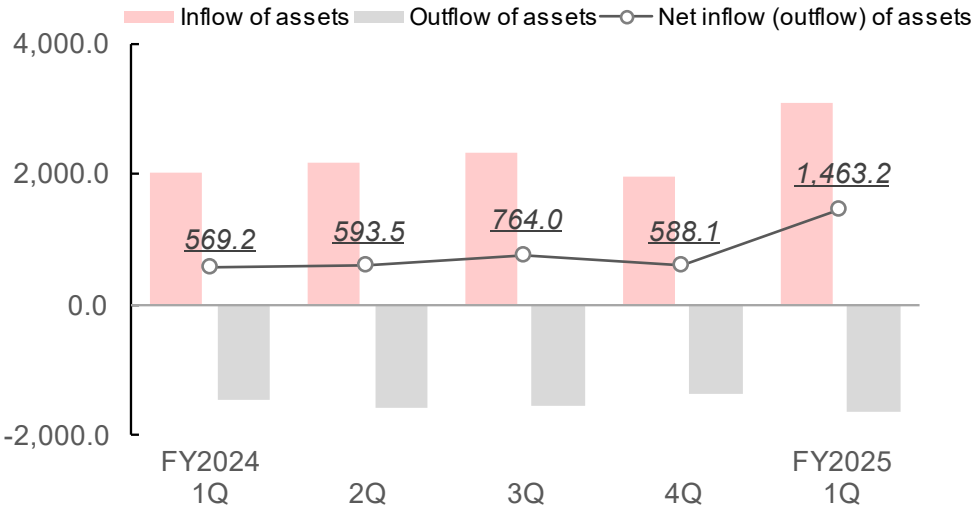


Financial Results for the First Quarter of FY2025

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Net Inflow of Assets

(Before Revision)



(After Revision)

